

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/270,437C
Source: /boo/cush
Date Processed by STIC: 8/28/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
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- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

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Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
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Revised 01/29/2002

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NOTICE TO COMPLY WELL REQUIREMENTS FOR PATENT PLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE SCLOSURES
09/270 + 3 The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the r quirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
The second of 37 CFR 1 821
1. This application clearly fails to comply with the requirements of 37 CFR 1.821
-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
2. This application does not contain, as a separate part of the disclosure on
paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
3. A copy of the "Sequence Listing" in computer readable form has not been
submitted as required by 37 CFR 1.821(e).
4. A copy of the "Sequence Listing" in computer readable form has been submitted.
However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
5. The computer readable form that has been filed with this application has been
found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
6. The paper copy of the "Sequence Listing" is not the same as the computer
readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
7.
Other:
Applicant must provide:
An initial or substitute computer readable form (CRF) copy of the "Sequence
Listing"
An initial or substitute paper copy of the "Sequence Listing", as well as an
amendment directing its entry into the specification
A statement that the content of the pager and computer readable copies are the same
and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)
For questions regarding compliance with these requirements, please contact:
For Rules Interpretation, call (703) 308-1123
For CRF submission help, call (703) 308-4212 For PatentIn software help, call (703) 557-0400

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1600

DATE: 08/28/2002 RAW SEQUENCE LISTING TIME: 10:16:39 PATENT APPLICATION: US/09/270,437C

Input Set : A:\EP.txt

Output Set: N:\CRF3\08282002\I270437C.raw

```
1 <110> APPLICANT: Chen, Yao-Tseng
                                                                      Does No. Comply
                                                                  Corrected Di Vette Needer
             Gure, Ali
      2
             Tsang, Solam
      3
             Stockert, Elisabeth
      4
                                                                    pr1-5
              Jager, Elke
      5
              Knuth, Alexander
      6
              Old, Lloyd J.
      7
      9 <120> TITLE OF INVENTION: Isolated Nucleic Acid Molecules Encoding Cancer Associated
Antigen, The
              Antigens Per Se, And Uses Thereof
     12 <130> FILE REFERENCE: LUD 5538.1
     14 <140> CURRENT APPLICATION NUMBER: 09/270,437C
Q-> 16 <141> CURRENT FILING DATE: 1999-03-16
     18 <150> PRIOR APPLICATION NUMBER: 09/061,709
     20 <151> PRIOR FILING DATE: 1998-04-17
     22 <160> NUMBER OF SEQ ID NOS: 23
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ERRORED SEQUENCES

Important: all huclestides

held to be in

lower-case letters,

when Sequence Listing

is in "new" format.

Sel P. 2 for explanation of this even

This even 577 <210> SEQ ID NO: 920 5 hown 579 <212> TYPE: DNA 580 <213> ORGANISM: Homo sapiens W--> 581 <220> FEATURE: W--> 582 <400> SEQUENCE: 9 E--> 583 gaagtatct tcaaggacgc c 669 <210> SEQ ID NO: 19 670 <211> LENGTH: (24) 23 671 <212> TYPE: DNA 672 <213> ORGANISM: artificial sequence W--> 673 <220> FEATURE: W--> 674 <221> NAME/KEY: adaptor 675 <222> LOCATION: 1...24 W--> 676 (<223) OTHER INFORMATION: W--> 676 400 SEQUENCE: 19 E--> 677 accgacgtcg actatcatg catg

also exists
in other
sequereesel P. 2
for Sequeree ID
nos,
8/28/02

RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/09/270,437C

DATE: 08/28/2002 TIME: 10:16:40

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Input Set : A:\EP.txt

Output Set: N:\CRF3\08282002\I270437C.raw

Use of <220> Feature(NEW RULES):

Sequence(s) are missing the <220> Feature and associated headings.
Use of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence" or "Unknown". Please explain source of genetic material in <220> to <223> section (See "Federal Register," 6/01/98, Vol. 63, No. 104,pp.29631-32) (Sec.1.823 of new Rules)

Seq#:15,16,17,18,19,20,21,22,23

8/28/02

VARIABLE LOCATION SUMMARY

DATE: 08/28/2002

PATENT APPLICATION: US/09/270,437C

TIME: 10:16:40

Input Set : A:\EP.txt

Output Set: N:\CRF3\08282002\1270437C.raw

Use of n's or Xaa's(NEW RULES):

Use of n's and/or Xaa's have been detected in the Sequence Listing.
Use of <220> to <223> is MANDATORY if n's or Xaa's are present.
in <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.

Seq#:4; N Pos. 3347,3502,3506,3520,3538,3549,3646,3940,3968,3974,4036,4056

Seq#:4; N Pos. 4062,4080,4088,4115

Seq#:5; N Pos. 1384,1464,1533,1571,1595

Seq#:6; N Pos. 3372

Seq#:7; N Pos. 1622,1702,1771,1809,1833

Seq#:8; N Pos. 3243

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GTGGTCGCAG	GAGATTTTGG	AACGGCTGGT
GTTTAGCTAC	ATGATTGAAT	GCATAATAAA
AGAAAGTGCA	TCAGTGAAGA	GATGCAAGAC
GCTTGTCTTA	TAGGATGCTT	AGTTTGCCAC
TGGTGTGACA	GTGTTTAAAC	GCAACAAAAG
GAGCCTCACT	AAGCTATTTT	GAAGATTTTT
AAATTAGACT	CCACCTTAAG	TAGTAAAGTA
TTCTTTGAAA	AAAAAGTCAA	AAGATAGAGA
AATGACTGTG	AAAACATATG	ACCTTTGATA
AAGCCCAGTA	CGTACAATTG	TETTEGETET
AATTGATTTT	TTGAGTTTTG	CUTTONAAGA
GGACATATNT	TATAACCCTT	TAAAAAAAAA
ATTTCGATAC	AGACTAGATG	TCTTTCTGAA
AGTGTTTTCC	TTAATGTTCT	CTGAAAACAA
CCCTTTTTGT	CACTGGTTTC	TCCTAGCATT
ATTGCTAAAA	TCATGGACTG	GCTTTCTGGT
GAGCTTTTCT	CAGTATTTGA	TTTTTTTCCC
AGGAGCTECA	TTTAAAACCT	GCTGGTTTAA
GTATGGCNAA	TCANAATTTA	CTTTTACTTA
GCTAAGAAAT	AATTONATAA	TTGAGTTTTG
ATAATGT(NCC	CCCAATGCAG	CTTCATTTTC
ATCATTTAGG	TCCCCAAAA	

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TTAAATGGCT	TCAGGAGACT	TCAGTTTTTT	2940
TGCTTTGTGC	TTCTGACTAT	CAATACCTAA	3000
TTTCAACTGA	CTGGCAAAAA	GCAAGCTTTA	3060
TACACTŢCAG	ACCAATGGGA	CAGTCATAGA	3120
GCTACATTTC	CATGGGGCCA	GCACTGTCAT	3180
AAGCACTGAT	AAATTAAAAA	AAAAAAAAA	3240
TAACAGGATT	TCTGTATACT	GTGCAATCAG	3300
ATACAAGAAA	AGTTTT(N)GG	ATATAATTTG	3360
ACGAACTCAT	TTGCTCACTC	CTTGACAGCA	3420
GGGTGGTCTC	CAAGGCCACG	CTGCTCTCTG	3480
TGATCACACN	CATGTTACAC	TGATCTT(N)AA	3540
ATCCCCTGCC	TCATTCTTAT	TTCGAGATGA	3600
GATCAATTAG	ACATTNTGAA	AATGATTTAA	3660
GTTTCTTTTG	TAGTTTTAAC	CAAAAAAGTG	3720
CATGATTTTT	TTTTCACACA	ATGAATTAAA	3780
TGGATTTCAG	GTAAGATGTG	TTTAAGGCCA	3840
CAATATTTGA	TTTTTTAAAA	ATATACACAT	3900
ATTCTGTCAN	ATTTCACTTC	TAGCCTTTTA	3960
AGCATTTGTA	ATTTGGAGTA	TCTGGTACTA	4020
TACTONCCAA	ANATGGGTCA	TTCCTCATON	4080
CAGANACCTT	GACGCAGGAT	AAATTTTTTC	4140
			4159
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VERIFICATION SUMMARY PATENT APPLICATION: US/09/270,437C DATE: 08/28/2002 TIME: 10:16:40

Input Set : A:\EP.txt
Output Set: N:\CRF3\08282002\1270437C.raw

L:16 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:29 M:283 W: Missing Blank Line separator, <220> field identifier L:30 M:283 W: Missing Blank Line separator, <400> field identifier L:32 M:112 C: (48) String data converted to lower case, M:112 Repeated in SeqNo=1 L:111 M:283 W: Missing Blank Line separator, <220> field identifier L:112 M:283 W: Missing Blank Line separator, <400> field identifier L:271 M:283 W: Missing Blank Line separator, <220> field identifier L:272 M:283 W: Missing Blank Line separator, <400> field identifier L:283 M:283 W: Missing Blank Line separator, <220> field identifier L:284 M:283 W: Missing Blank Line separator, <400> field identifier L:286 M:112 C: (48) String data converted to lower case, M:112 Repeated in SeqNo=4 L:343 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:343 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:343 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:343 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:3300 L:346 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:346 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:346 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:346 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:3480 L:347~M:258~W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:347 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:347 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:347 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:3540 L:348 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:348 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:348~M:258~W: Mandatory Feature missing, <223> not found for SEQ ID#:4 $L:348\ M:341\ W:$ (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:3600 L:353 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:353 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:353 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:353 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:3900 L:354 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:354 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:354 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:354 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:3960 L:355 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:355 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:355 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:355 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:4020 L:356 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:356 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:356 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:4 L:356 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:4080 L:364 M:283 W: Missing Blank Line separator, <220> field identifier L:365 M:283 W: Missing Blank Line separator, <400> field identifier L:367 M:112 C: (48) String data converted to lower case,

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/270,437C

DATE: 08/28/2002 TIME: 10:16:40

Input Set : A:\EP.txt

M:112 Repeated in SeqNo=5

Output Set: N:\CRF3\08282002\I270437C.raw

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L:392 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:5
L:392 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:5
L:392 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:5
L:392 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:1380
L:393 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:5
L:393 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:5
L:393 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:5
L:393 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:1440
L:394 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:5
L:394 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:5
L:394 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:5
L:394 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:1500
L:395 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:5
L:395 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:5
L:395 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:5
L:395 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:1560
L:404 M:283 W: Missing Blank Line separator, <220> field identifier
L:405 M:283 W: Missing Blank Line separator, <400> field identifier
L:407 M:112 C: (48) String data converted to lower case,
M:112 Repeated in SeqNo=6
L:465 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:6
L:465 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:6
L:465 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:6
L:465 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:3360
L:472 M:283 W: Missing Blank Line separator, <220> field identifier
L:473 M:283 W: Missing Blank Line separator, <400> field identifier
L:475 M:112 C: (48) String data converted to lower case,
M:112 Repeated in SeqNo=7
L:504 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:7
L:504 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:7
L:504 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:7
L:504 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:1620
L:505 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:7
L:505 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:7
L:505 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:7
L:505 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:1680
L:506 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:7
L:506 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:7
L:506 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:7
L:506 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:1740
L:507 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:7
L:507 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:7
L:507 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:1800
L:516 M:283 W: Missing Blank Line separator, <220> field identifier
L:517 M:283 W: Missing Blank Line separator, <400> field identifier
L:519 M:112 C: (48) String data converted to lower case,
M:112 Repeated in SeqNo=8
L:575 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8 after pos.:3240
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VERIFICATION SUMMARY PATENT APPLICATION: US/09/270,437C DATE: 08/28/2002 TIME: 10:16:40

Input Set : A:\EP.txt

Output Set: N:\CRF3\08282002\1270437C.raw

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L:581 M:283 W: Missing Blank Line separator, <220> field identifier
L:582 M:283 W: Missing Blank Line separator, <400> field identifier
L:583 M:254 E: No. of Bases conflict, LENGTH:Input:21 Counted:20 SEQ:9
L:583 M:252 E: No. of Seq. differs, <211> LENGTH:Input:21 Found:20 SEQ:9
L:589 M:283 W: Missing Blank Line separator, <220> field identifier
L:590 M:283 W: Missing Blank Line separator, <400> field identifier
L:597 M:283 W: Missing Blank Line separator, <220> field identifier
L:598 M:283 W: Missing Blank Line separator, <400> field identifier
L:605 M:283 W: Missing Blank Line separator, <220> field identifier
L:606 M:283 W: Missing Blank Line separator, <400> field identifier
L:613 M:283 W: Missing Blank Line separator, <220> field identifier
L:614 M:283 W: Missing Blank Line separator, <400> field identifier
L:623 M:283 W: Missing Blank Line separator, <220> field identifier
L:624 M:283 W: Missing Blank Line separator, <400> field identifier
L:631 M:283 W: Missing Blank Line separator, <220> field identifier
L:632 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:15
L:634 M:283 W: Missing Blank Line separator, <400> field identifier
L:641 M:283 W: Missing Blank Line separator, <220> field identifier
L:642 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:16
L:644 M:283 W: Missing Blank Line separator, <400> field identifier
L:651 M:283 W: Missing Blank Line separator, <220> field identifier
L:652 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:17
L:654 M:283 W: Missing Blank Line separator, <400> field identifier
L:662 M:283 W: Missing Blank Line separator, <220> field identifier
L:663 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:18
L:665 M:283 W: Missing Blank Line separator, <400> field identifier
L:673 M:283 W: Missing Blank Line separator, <220> field identifier
L:674 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:19
L:676 M:283 W: Missing Blank Line separator, <400> field identifier
L:677 M:254 E: No. of Bases conflict, LENGTH:Input:24 Counted:23 SEQ:19
L:677 M:252 E: No. of Seq. differs, <211> LENGTH:Input:24 Found:23 SEQ:19
L:685 M:283 W: Missing Blank Line separator, <220> field identifier
L:686 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:20
L:688 M:283 W: Missing Blank Line separator, <400> field identifier
L:696 M:283 W: Missing Blank Line separator, <220> field identifier
L:697 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:21
L:699 M:283 W: Missing Blank Line separator, <400> field identifier
L:707 M:283 W: Missing Blank Line separator, <220> field identifier
L:708 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:22
L:710 M:283 W: Missing Blank Line separator, <400> field identifier
L:718 M:283 W: Missing Blank Line separator, <220> field identifier
L:719 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:23
L:721 M:283 W: Missing Blank Line separator, <400> field identifier
```